

PTO-1390 (Rev. 07-2005)
Approved for use through 3/31/2007. OMB 0651-0021
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

ATTORNEY'S DOCKET NUMBER

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

0815-053669 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. PCT/US2004/019596 INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED 18 June 2004 20 June 2003 TITLE OF INVENTION HYBRID ABRADABLE LABYRINTH DAMPER SEAL APPLICANT(S) FOR DO/EO/US Jiming Li et al Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4).

Items 11 to 20 below concern document(s) or information included:

Article 36 (35 U.S.C. 371(c)(5)).

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10.

TRANSMITTAL LETTER TO THE UNITED STATES

An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.

A preliminary amendment.

An Application Data Sheet under 37 CFR 1.76.

A substitute specification.

A power of attorney and/or change of address letter.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))

a. are attached hereto (required only if not communicated by the International Bureau).

have not been made; however, the time limit for making such amendments has NOT expired.

An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).

An English language translation of the annexes of the International Preliminary Examination Report under PCT

have been communicated by the International Bureau.

have not been made and will not be made.

An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).

An Information Disclosure Statement under 37 CFR 1.97 and 1.98.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

IAP20 Rec'd PCT/PTO 15 DEC 2005

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| U.S. APPLICATION NO. (if known, see 37 CF 2.5) | | INTERNATIONAL AP | INTERNATIONAL APPLICATION NO. PCT/US2004/019596 | | ATTORNEY'S DOCKET NUMBER 0815-053669 | | |
|---|--|---------------------|---|--------------------------------|--------------------------------------|--------------|--|
| 20. Other items or information: | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| The followi | ng fees have b | een submitted | | - | CALCULATIONS | PTO USE ONLY | |
| 21. A Basic national fee (37 CFR 1.492(a)) | | | | \$300 | \$ 300 | I | |
| 22. 🗸 Examina | ation fee (37 Cl | | | | | | |
| If the written opinion | prepared by IS | \$ 200 | | | | | |
| by IPEA/US All other situations. | indicates all cl | • | | | | | |
| 23. 🗹 Search | fee (37 CFR 1 | .492(b)) | | | | | |
| If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) | | | | | | | |
| Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | | \$ 100 | | |
| International Search | Report prepar | ed by an ISA othe | er than the US and provided to | the Office or | | | |
| previously communicated to the US by the IB | | | | | | | |
| TOTAL OF 21, 22 and 23 = | | | | | 600 | | |
| sequence lis | ting in complia | ince with 37 CFR | d in paper over 100 sheets (ex 1.821(c) or (e) or computer pr | cluding ogram listing in an | | | |
| | edium) (37 CF 50 for each ad | | s of paper or fraction thereof. | | | | |
| Total Sheets Ex | extra Sheets Number of each additional 50 or fraction thereof (round up to a whole number) | | | RATE | | | |
| 0 - 100 = U | /50 = | ſ | 0 | x \$250 | \$ 0 | 1 | |
| Surcharge of \$130.0 after the date of com | 0 for furnishing mencement of | oath or declaration | \$ 130 | | | | |
| CLAIMS NUMBE | | ER FILED | NUMBER EXTRA | RATE | \$ | | |
| Total claims | 12 | - 20 = | 0 | × \$ 50 | \$ 0 | | |
| Independent claims 2 | | - 3 = | 0 | x \$200 | \$ 0 | | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$360 | | | | | \$ 360 | | |
| TOTAL OF ABOVE CALCULATIONS = Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½. | | | | | \$ 1090 | | |
| | | | | | | | |
| SUBTOTAL = Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest | | | | | \$ 1090 | | |
| claimed priority date (37 CFR 1.492(i)). | | | | | | | |
| TOTAL NATIONAL FEE = | | | | | \$ 1090 | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | | | | \$ | | |
| TOTAL FEES ENCLOSED = | | | | | \$ 1090 | | |
| | | | | | Amount to be refunded: | \$ | |
| | | | | | Amount to be charged | \$ | |

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| a. 🗸 | A check in the amount of \$ | to cover the above | AP20 Rec'd PCT/PTO 15 DEC 2005 e fees is enclosed. | | | |
|--|--|---|--|--|--|--|
| b. 🖵 | Please charge my Deposit Account No. A duplicate copy of this sheet is enclosed. | _ in the amount of \$ _ | to cover the above fees. | | | |
| c. 🔽 | The Commissioner is hereby authorized to charge any Account No. 23-0650 . A duplicate copy of this sh | / additional fees whic neet is enclosed. | ch may be required, or credit any overpayment to Deposit | | | |
| d. 🗀 | Fees are to be charged to a credit card. WARNING: In be included on this form. Provide credit card information | nformation on this for ation and authorization | m may become public. Credit card information should not on PTO-2038. | | | |
| NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status. | | | | | | |
| SEND AI Richard The We 700 Ko 436 Sev Pittsbur Telepho | LL CORRESPONDENCE TO: 1 L. Byrne 2 bb Law Firm ppers Building yenth Avenue 1 cgh, PA 15219-1845 2 cne: (412) 471-8815 3 ile: (412) 471-4094 | ing status. | SIGNATURE Richard L. Byrne NAME 28,498 REGISTRATION NUMBER | | | |
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IAP20 RCC'C PUT/PTO 15 DEC 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| IN RE APPLICATION OF: | ATTORNEY'S DOCKET NUMBER | | | | | |
|---|--|--|--|--|--|--|
| Jiming Li et al. | 0815-053669 | | | | | |
| ENTITLED | | | | | | |
| "HYBRID ABRA | ADABLE LABYRINTH DAMPER SEAL" | | | | | |
| MAIL STOP PCT Attention: DO/EO/US Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450 | | | | | | |
| EXPRESS MAIL CERTIFICATE | | | | | | |
| "Express Mail" Label Number | EL971232594US | | | | | |
| Date of Deposit | December 15, 2005 | | | | | |
| I hereby certify that the following | lowing attached paper or fee | | | | | |
| Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (3 pp. – in duplicate) and check in the amount of \$1090.00; Application Data Sheet (3 pp.); Copy of International Publication No. WO 2004/113771 w/Search Report (9 pp.) | | | | | | |
| is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. | | | | | | |
| (Typed name of person mailing paper or fee) | | | | | | |
| | (Signature of person mailing paper or fee) | | | | | |